

## 5 Finite-dimensional Hilbert spaces

**Bring solutions along to the class at 10am on 2/11/20.**

Questions marked # are beyond the syllabus. We may discuss them in the class if there is time. Attempt all questions. Feel free to discuss with friends. Don't worry if you cannot answer a question fully as you will discuss the solutions in the class.

In problem set P0 we saw a convenient notation for complex vectors,  $|v\rangle$ . This problem set develops some useful properties of complex vectors.

### 5.0 Videos

Please watch this week's videos: V5.1, V5.2, V5.3a, V5.3b, V5.3c, V5.4

All videos are available on the youtube channel Introductory Quantum Mechanics (link available at [felixflicker.com/teaching](http://felixflicker.com/teaching), or on Learning Central).

### 5.1 Hermitian conjugate

#### 5.1.1

Define a complex  $N$ -dimensional vector

$$|v\rangle = \begin{pmatrix} v_1 \\ v_2 \\ v_3 \\ \vdots \\ v_N \end{pmatrix}. \quad (84)$$

Write expressions for

(a) the complex conjugate  $(|v\rangle)^*$

(b) the transpose  $(|v\rangle)^T$ .

What are the matrix dimensions of each object?

#### 5.1.2

Show that  $((|v\rangle)^T)^* = ((|v\rangle)^*)^T$ .

N.B The relevance is that we can therefore write  $(|v\rangle)^{T*}$  without ambiguity. This is called the Hermitian conjugate  $(|v\rangle)^\dagger$ :

$$(|v\rangle)^\dagger \triangleq (|v\rangle)^{T*}. \quad (85)$$

By convention we write this

$$(|v\rangle)^\dagger \triangleq \langle v|. \quad (86)$$

## 5.2 Inner product

### 5.2.1

Show that the inner product  $\langle u|v\rangle$ , which we conventionally write  $\langle u|v\rangle$ , is a complex scalar.

### 5.2.2

Show that

$$(\langle u|v\rangle)^* = \langle v|u\rangle. \quad (87)$$

### 5.2.3

Show that the norm of  $|v\rangle$ , *i.e.* its length, denoted  $\| |v\rangle \|$ , is given by

$$\| |v\rangle \| = \sqrt{\langle v|v\rangle}. \quad (88)$$

### 5.2.4

Let

$$|v\rangle = \alpha|u\rangle + \beta|w\rangle \quad (89)$$

with complex  $\alpha$  and  $\beta$ . Assuming  $|u\rangle$  and  $|w\rangle$  are orthogonal and normalised, find a condition on  $\alpha$  and  $\beta$  for  $|v\rangle$  to also be normalised.

## 5.3 Matrices acting on vectors

Assume  $A$  is an  $N \times N$  complex matrix, and  $|u\rangle$  and  $|v\rangle$  are  $N$ -dimensional complex vectors. State the dimensions of the following objects:

**5.3.1**  $A|v\rangle$

**5.3.2**  $\langle v|A$

**5.3.3**  $\langle u|A|v\rangle$ .

## 5.4 Outer product

In general we denote the outer product (also called the tensor product) between two  $N$ -dimensional vectors  $\mathbf{u}$  and  $\mathbf{v}$  as

$$\mathbf{u} \otimes \mathbf{v} \triangleq \mathbf{u}\mathbf{v}^\dagger.$$

Element-wise the statement is that

$$[\mathbf{u} \otimes \mathbf{v}]_{ij} = u_i v_j^*$$

(i.e. multiply element-by-element).

In general the vectors need not be the same length, but we will assume they are.

(a) Thinking again of  $N$ -dimensional vectors as  $N \times 1$  matrices, explain why the outer product is an  $N \times N$  matrix.

(b) Show that  $|v\rangle\langle u|$  is an outer product.

### 5.5 Resolution of the identity

Assume  $|e_i\rangle$  ( $i \in [1, N]$ ) form a complete orthonormal basis.

(a) What is  $\langle e_i | e_j \rangle$ ?

(b) For an arbitrary vector  $|v\rangle$  with elements  $v_i$  explain why

$$|v\rangle = \sum_{i=1}^N v_i |e_i\rangle \quad (90)$$

where

$$v_i = \langle e_i | v \rangle. \quad (91)$$

(c) Two matrices  $A$  and  $B$  are equivalent iff

$$\langle u | A | v \rangle = \langle u | B | v \rangle \quad (92)$$

for all  $|u\rangle, |v\rangle$ . Using the results of (b) prove the *resolution of the identity*

$$\mathbb{I} = \sum_{i=1}^N |e_i\rangle\langle e_i| \quad (93)$$

where  $\mathbb{I}$  is the  $N \times N$  identity matrix.

### 5.6 Hermitian matrices

A Hermitian matrix is one which is equal to its Hermitian conjugate:

$$A^\dagger = A \quad (94)$$

i.e.

$$A^{T*} = A \quad (95)$$

or

$$A_{ij}^* = A_{ji}. \quad (96)$$

- (a) Explain why this requires  $A$  to be square. We will assume this from now on.  
 (b) Recalling that

$$(AB)^T = B^T A^T \quad (97)$$

explain why

$$(A|v\rangle)^\dagger = \langle v|A^\dagger. \quad (98)$$

- (c) The eigenvectors  $|v_n\rangle$  and eigenvalues  $\lambda_n$  of matrix  $A$  are defined by the equation:

$$A|v_n\rangle = \lambda_n|v_n\rangle. \quad (99)$$

Show that

$$\langle v_n|A^\dagger = \lambda_n^*\langle v_n|. \quad (100)$$

- (d) By considering the object

$$\langle v_n|(A - A^\dagger)|v_n\rangle \quad (101)$$

prove that Hermitian matrices have real eigenvalues.

- (e) Considering instead the object

$$\langle v_m|(A - A^\dagger)|v_n\rangle \quad (102)$$

prove the the eigenvectors of a Hermitian matrix are orthogonal to one another, provided no two eigenvalues are the same (the matrix is non-degenerate).

- (f) Assuming the eigenvalues of an  $N \times N$  Hermitian matrix are non-degenerate, explain why the normalised eigenvectors must form a basis for the  $N$ -dimensional linear vector space.

## 5.7 Matrices and eigenvalues

### 5.7.1

Using the resolution of the identity, show that any Hermitian matrix  $M$  can be written in the following form:

$$M = \sum_{i=1}^N \lambda_i |i\rangle\langle i| \quad (103)$$

where

$$M|i\rangle = \lambda_i|i\rangle. \quad (104)$$

### 5.7.2

Show this explicitly for each of the three Pauli matrices:

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (105)$$

## 5.8 Functions of matrices #

A function of a matrix can be defined in terms of its Taylor series.

### 5.8.1 #

By comparing their Taylor series, show that

$$\exp(iAx) = \cos(Ax) + i \sin(Ax). \quad (106)$$

### 5.8.2 #

Using the result that

$$\sigma_x^2 = \mathbb{I} \quad (107)$$

where  $\sigma_x$  is a Pauli matrix and  $\mathbb{I}$  is the  $2 \times 2$  identity matrix, show that

$$\exp(i\sigma_x x) = \cos(x)\mathbb{I} + i \sin(x)\sigma_x. \quad (108)$$

### 5.8.3 #

What are the eigenvectors of  $\exp(i\sigma_x x)$ ? What are the corresponding eigenvalues?

### 5.8.4 #

Show that for

$$M = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \quad (109)$$

we have

$$\exp(M) = \mathbb{I} + M + \sum_{n=2}^{\infty} \frac{1}{n!} \begin{pmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{pmatrix} \quad (110)$$

where  $F_n$  is the  $n^{\text{th}}$  Fibonacci number.

## 5.9 Spin-1/2

### 5.9.1

The spin operators are defined to be

$$\hat{S}_i = \frac{\hbar}{2} \sigma_i \quad (111)$$

with  $i = x, y, z$ . Show that each of the three spin operators has eigenvalues  $\pm\hbar/2$  and find the corresponding normalised eigenvectors.

### 5.9.2

Denote the eigenvector of  $\hat{S}_i$  with eigenvalue  $+\hbar/2$  with the symbol  $|\uparrow_i\rangle$ , and that with eigenvalue  $-\hbar/2$  with the symbol  $|\downarrow_i\rangle$ :

$$\begin{aligned} \hat{S}_i |\uparrow_i\rangle &= \frac{\hbar}{2} |\uparrow_i\rangle \\ \hat{S}_i |\downarrow_i\rangle &= -\frac{\hbar}{2} |\downarrow_i\rangle. \end{aligned}$$

Using the result of equation 103 explain why each spin operator can be written in the form

$$\hat{S}_i = \frac{\hbar}{2} (|\uparrow_i\rangle\langle\uparrow_i| - |\downarrow_i\rangle\langle\downarrow_i|). \quad (112)$$

### 5.9.3

Show that we can write all operators in the spin- $z$  basis as follows:

$$\hat{S}_x = \frac{\hbar}{2} (|\uparrow_z\rangle\langle\downarrow_z| + |\downarrow_z\rangle\langle\uparrow_z|) \quad (113)$$

$$\hat{S}_y = \frac{\hbar}{2} (i|\downarrow_z\rangle\langle\uparrow_z| - i|\uparrow_z\rangle\langle\downarrow_z|) \quad (114)$$

$$\hat{S}_z = \frac{\hbar}{2} (|\uparrow_z\rangle\langle\uparrow_z| - |\downarrow_z\rangle\langle\downarrow_z|). \quad (115)$$

If you see spins written without the direction designated it is conventional to define them along  $z$  in this way.

### 5.9.4

Use the forms just derived to show that

$$[\hat{S}_i, \hat{S}_j] = i\hbar \sum_{k=1}^3 \epsilon_{ijk} \hat{S}_k \quad (116)$$

where the directions  $x, y, z$  are numbered 1, 2, and 3 respectively, and  $\epsilon_{ijk}$  is the Levi-Civita symbol defined by

$$\epsilon_{ijk} = \begin{cases} 0, & \text{any of } i, j, k \text{ equal} \\ 1, & ijk = 123 \text{ or cyclic permutations} \\ -1, & ijk = 321 \text{ or cyclic permutations.} \end{cases} \quad (117)$$